REQUEST FOR PROPOSALS (RFP) FOR FAIRFIELD COUNTY AIRPORT FIXED BASE OPERATION AND AIRPORT MANAGER DUTIES

Issued by:

The Fairfield County Airport Authority and the Fairfield County Board of County Commissioners

c/o Staci Knisley, Fairfield County Airport Board Clerk 210 East Main Street Lancaster, Ohio 43130

Contact:

Staci Knisley, Fairfield County Airport Board Clerk

Phone: 740.652.7093

Email: staci.knisley@fairfieldcountyohio.gov

Fairfield County Airport Mission

To be a safe and business friendly airport in order to promote area economic growth and increased aviation job opportunities.

Airport Information

The Fairfield County Airport is strategically located to serve the growing US Route 33 business corridor. Situated between the southern outer belt of Columbus and the scenic Hocking Hills, it is a nine-minute drive to downtown Lancaster and 26 miles (30 minutes driving time) to midtown Columbus, Ohio and 39 miles (40 minutes driving time) to Intel Corporation, New Albany, Ohio. The airport is located within two hours flight time of 60% of the US population and 60% of the US manufacturing.

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General Overview

The Fairfield County Board of County Commissioners and the Fairfield County Airport Authority (referred to collectively in this document as FCAA) are now accepting proposals for individuals and/or entities to perform Fixed Base Operations (FBO) and airport manager duties at the Fairfield County Airport (KLHQ).

The Fairfield County Airport is a county-owned, general aviation airport with a 5,004" X 75' lighted runway. At the Airport, there are 72 modern t-hangar bays, six 50 x 50 Box/Corporate hangar bays, a terminal building, maintenance hangar, large ground equipment storage facility, two corporate hangar buildings, and the Historical Aircraft Squadron Museum Building. Currently, there is a waiting list for hangar occupancy, as this has been the trend for the last year. Plans are currently under evaluation for adding hangers per the Master Plan. The county owns and manages the fuel farm and a Jet A fuel truck, selling aviation fuel at competitive rates. The Airport hosts flight training (fix and rotary wing) and maintenance services.

The prospective FBO/Airport manager will play a key role in:

- 1. Promoting a risk/hazard free and security conscious culture.
- 2. Encouraging the development opportunities for business, public, private, and recreational air transportation.
- 3. Ensuring courteous and timely service to airport tenants, aviation travelers, and the visiting public.
- 4. Ensuring the Airport is a good neighbor within the community.

The selected party shall begin operations on or about January 1, 2023, or at a time agreed upon by the FCAA and the selected party. The evaluation of the proposals shall be made by Airport Board members and County representatives who will comprise a proposal review team who will use the attached evaluation form.

The awarding of the contract for services shall be determined on various factors, including but not limited to:

- the breadth and depth of services proposed to be rendered,
- demonstrated experience in FBO/Airport Manager experience, and
- proven knowledge of FAA directives.

Minimum required services to be performed by the FBO/Airport Manager include:

 Exhibiting exemplary interpersonal skills and client services when working with users of the Airport, members of the public, representatives of the FAA, or other stakeholders. At all times, the FBO/Airport Manager and employees must present a positive attitude and a customer service orientation that is professional and courteous.

- 2. Providing daily inspections of airfield facilities for safety hazards and foreign objects to ensure the proper service and maintenance of NAVAIDS, airport lighting, security, fire protection, coordination of ground transportation rental, and other items as deemed necessary by the FCAA.
- 3. Maintaining required security measures in accordance with the current KLHQ Security Manual and any revisions thereto.
- 4. Responding to and coordinating emergency incidents using the guidance outlined in the KLHQ Emergency Action Plan.
- 5. Serving as the facility director in all respects, including but not limited to managing and maintaining customer accommodations and courtesies, such as the terminal lobby, pilot lounge area, restrooms, international services, and telephone and utility services.
- 6. Maintaining apron/ramp servicing of aircraft, including the sale (and self-service) of aviation fuels (and lubricants, related items, etc.) and aircraft parking for both based and non-based aircraft.
- 7. Administering the fueling system record keeping system and payment services on behalf of the FCAA, including ordering fuel and requesting fuel farm maintenance and service as necessary.
- 8. Attending all FCAA meetings in their entirety and providing reports as directed.
- 9. Serving as the day-to-day public communications entity to promote the Airport to the public, civic organization, and other stakeholder groups.
- 10. Assisting in developing strategic plans for Airport development.
- 11. Maintaining a landing and takeoff log to monitor activity at the Airport in order to present data to the FCAA.
- 12. Participate in the DHS Blue Lightning Initiative aimed at preventing human trafficking.
- 13. Responsible for new tenant leases and assist the Board Clerk on the annual renewal of Hangar Leases; to include direct contact with tenants to complete the annual lease.
- 14. Notify the FCAA (President, Vice-President or a board member) of any emergency or incident including any aircraft accidents or incidents on or within 5 miles of the airport to the FCAA as soon as practical.

15. Accomplishing other duties as required by the FCAA that are necessary and appropriate for the FBO/Airport Manager.

Respondents should describe a plan of action for accomplishing required services if selected as the FBO/Airport Manager.

Respondents should list the specific criteria and/or qualifications of all key personnel.

The proposed compensation for services should be clearly established in the respondent's proposal.

Performance based compensation may be included in the final compensation structure, tied to increase in the business of the Airport. The respondent should provide suggestions for contract evaluation ideas and a proposal for performance-based compensation. Final compensation shall be established by negotiation between the selected party and the FCAA. In addition, other contract elements may be negotiated, such as the requirement of a performance bond.

Discussions and/or negotiations may be conducted with respondents who submit proposals for the purpose of clarification and/or correction, including any clarification relating to a revision that may occur during negotiation to promote full understanding of and responsiveness to the requirements, terms, conditions, and specifications of the RFP.

Proposals must be received no later than 4:00 p.m. on November 4th, 2022.

Dates of Importance

Date Issued: September 1, 2022

Intent to Submit Proposal (Notice Due): October 10, 2022

An Intent to Submit Proposal is a non-binding indication of interest it is not required, but encouraged. Submit the intent notice to Staci Knisley at staci.knisley@fairfieldcountyohio.gov. This notice ensures those responding will receive e-mail correspondence regarding the RFP process and answers to questions posed during the question and answer period.

Question & Answer Period: Through 12:00pm October 30, 2022

Questions must be e-mailed to Staci Knisley: staci.knisley@fairfieldocuntyohio.gov

The e-mail subject line should be: AIRPORT RFP QUESTIONS. Questions will be answered within two business days, and responses will be made to parties who submitted an Intent to Submit Proposals.

Proposals Due: November 7, 2022, 4:00 p.m.

RFP Scoring Meeting November 14, 2022, 5:00 p.m.

Estimated Contract Beginning Date: January 1, 2023

Vendor Disclosures

Responding vendors must provide a disclosure of any pending or threatened court actions and/or claims against the vendor. This information may not cause rejection of the proposal; but withholding the information may be cause to reject the proposal.

Conflict of Interest

No vendor will promise or give to any county or FCAA employee, representative, or official anything of value that could influence the decision on awarding a contract. No vendor will try to influence an employee, representative, or official of the county or FCAA to violate county procurement policies or provisions of the Ohio Revised Code.

Contractual Requirements

- As a condition of receiving a contract with FCAA, the contractor shall certify compliance with any court order for the withholding of child support which is issued pursuant to Section 3113.217 of the ORC. The contractor must also agree to cooperate with FCJFS and any Ohio Child Support Enforcement Agency in ensuring that the contractor or employees of the contractor meet child support obligations established under state law.
- By signing a contract with FCAA, a vendor agrees that all necessary insurance is in effect.
- The selected vendor will indemnify and hold harmless FCAA and Fairfield County against any loss, penalties, damage, settlements, costs, professional fees, and/or related expenses incurred through the provision of services.
- The selected vendor shall be required to comply with prevailing wage standards applicable to the state of Ohio and Fairfield County.
- The selected vendor agrees that as a condition to any contract, there shall be no discrimination against any client or any employee because of race, color, sex, religion, disability, national origin, or any other factor as specified in Title VI of the Civil Rights Act of 1964, Rehabilitation Act of 1973 and subsequent amendments. It is further agreed that the vendor will comply with all appropriate federal and state laws regarding such discrimination.
- ORC Section 9.24 prohibits awarding a contract to any entity against whom the Auditor of State has issued a finding for recovery, if the finding for recovery is "unresolved" at the time of award. By submitting a proposal, the vendor warrants that it is not now (and will not become subject to an "unresolved" finding for recovery under R.C. 9.24) prior to the award of any contract arising out of the RFP without notifying FCJFS of such finding. FCAA will review the Auditor of State's website prior to completion of evaluation of proposals submitted pursuant to this RFP. FCAA will not evaluate a proposal from any vendor whose name (or the name of any subcontractors proposed by the vendor) appears on the website of the Auditor of State of Ohio as having an "unresolved" finding for recovery.

Vendor Examination of the RFP

Vendors are expected to be familiar with the entire RFP. The vendor is expected to respond to the RFP in a manner that makes it clear they understand and have responded to all sections of the RFP.

Changes to RFP

FCAA may make changes to this RFP no later than October 30th, 2022, at 4:00 p.m. by sending changes to all vendors who register with Intent to Submit Proposal for the RFP. Revisions and additions to any portion of the RFP will be provided to all registered vendors.

Availability of Funds

This RFP and all agency contracts are contingent on the availability of funds. If, during the RFP process, funds are not available for the proposed services, the RFP process will be canceled. The vendor will be notified at the earliest possible time. FCAA is not required to compensate the vendor for any expenses incurred as a result of the RFP process.

Communication Protocol

Staci Knisley will serve as the contact person regarding this RFP. The contact information is provided below for submission of proposals or inquiries. Questions must be submitted by e-mail prior to October 30th, 2022 12:00 p.m., to the attention of Staci Knisley, staci.knisley@fairfieldcountyohio.gov.

It is the responsibility of each vendor to **provide an e-mail address** so that an appropriate and timely response may be supplied.

Please reference communication protocol guidelines below:

- Written questions are to be presented by e-mail to the designated contact person.
- Questions and answers are shared with all registered vendors by e-mail.
- Any revisions and additions to the RFP will be provided to all registered vendors.
- FCAA reserves the right to modify the submission process and time line, as well as to cancel any part of this RFP, without prior notice.
- Any error, omission, or discrepancy noted by a vendor must be communicated as soon as it is recognized to FCAA during the question and answer period with a request for clarification or modification of the RFP document. Any modifications to this RFP will be issued to all registered vendors, while maintaining anonymity as to the source of the request.
- Vendors who are aware of errors and do not report such errors submit at their own risk. Unreported errors will not entitle the vendor to additional compensation or time.

In order to assure that the proposal review/evaluation process is conducted in a fair and competitive manner for all potential providers, any ex-parte communication between any potential contractor and FCAA or any other person serving as a proposal evaluator is prohibited. Violation of this provision may disqualify the respondent from further consideration.

Preparation of Proposal

Proposals are expected to be concise and provide a clear picture of the vendor's qualifications. The vendor should respond to the RFP instructions and requirements. The proposal must include all costs that relate to the responses submitted.

All proposals become the property of FCAA. All proposals will be considered public information and will be open for inspection.

The proposal solicitation does not constitute an offer. Acceptance of proposals for review does not commit FCAA to award a contract. The costs of creating proposals are the responsibility of the vendor and shall not be chargeable to FCAA. The vendor must guarantee the pricing listed in the proposal will remain in effect for a minimum of 150 days after the proposal submission date. FCAA reserves the right to award contracts to a single applicant, multiple applicants, or to reject any and all proposals received.

FCAA reserves the right to negotiate services and costs on any and all proposals received or to cancel in part or in its entirety this proposal solicitation.

FCAA may award in whole or in part to one or multiple respondents.

Proposals containing false or misleading statements may be rejected.

The proposal must be signed by an individual who is authorized to contractually bind the vendor. The signature must indicate the title or position the individual holds in the vendor's organization. Unsigned proposals will be rejected.

The proposal, including attachments, will become part of the contract of the vendor (s) receiving awards. Interested parties should read the entire contents of this RFP since the provisions contained therein may affect your organization's decision to submit an RFP. All questions should be answered completely, honestly, and to the best of your organization's ability.

Vendors must deliver one original copy of the entire written proposal with completed Attachments A, B, C, D, and E, to Staci Knisley, Airport Board Clerk, 210 East Main Street, Lancaster, Ohio 43130 **no later than 4:00 p.m. on November 7**th, **2022.**

A receipt will be issued for all proposals received. Proposals received after the deadline will not be considered. "FBO/AIRPORT MANAGER PROPOSAL" MUST BE CLEARLY MARKED ON THE PACKAGE.

All vendors must carefully review their final proposals. Once opened, proposals cannot be changed; however, FCAA may request information or respond to inquiries for clarification purposes only.

FCAA reserves the right to reject any or all proposals.

Written notification may be made to all vendors who submit a proposal. If a successful vendor fails to execute the contract, FCAA may award the contract to another vendor whose proposal met the requirements of the RFP and any addenda. The period of time within which such an award of the contract may be made shall be subject to the written agreement between FCAA and the vendor.

Proposal Selection

Proposal selection does not guarantee a contract for services will be awarded. Prospective vendors are advised that an offer for a contact may be initiated after a review of the proposals received by FCAA and members of a proposal review team.

Proposals submitted in response to this proposal solicitation must comply with the specifications stated herein.

All proposals will be evaluated based on the criteria in the RFP. All proposal evaluation criteria are established by FCAA. If no response adequately addresses the services and outcomes requested, the FCAA may recommend that no award be made.

The request for proposal (RFP) does not constitute an offer. Acceptance of proposals for review does not commit FCAA to award a contract, nor is it liable for any costs incurred in the preparation of proposals. FCAA reserves the right to award contracts to a single applicant, multiple applicants or to reject any and all proposals received. Additionally, FCAA reserves the right to cancel in part or in entirety this RFP.

All organizations submitting proposals must agree to operate programs in compliance with all federal, state and local guidelines.

The successful vendor agrees to accept full responsibility for payment of all unemployment compensation, contributions or reimbursements, insurance premiums, income tax deductions, social security deductions, and any and all other employee taxes and payroll accounting required for employees.

Any additional services that the vendor includes in response to this proposal solicitation will be considered.

Any vendor responding to any FCAA RFP or any other procurement opportunity is required to provide certification that the vendor has not provided material support or resources to any organization listed on the "Terrorist Exclusion List" (TEL) maintained by the U.S. Department of State. The Declaration of Material Assistance Form, provided as part of Attachment C to the RFP must be completed and signed by the interested vendor's authorized representative and provided as a component of the vendor's proposal.

If FCAA and the vendor are unable to successfully come to terms regarding the contract, FCAA reserves the right to terminate contract discussions with the vendor. If this

happens, FCAA reserves the right to select another proposal from the proposal process, cancel the RFP, or reissue the RFP.

Confidentiality and Security

Any vendor that has access to confidential information will be required to keep that information confidential. Disclosure of information to any party beyond FCAA or county personnel and/or a court of law without written consent of the party served is prohibited.

In the event of a material breach of contractor obligations under this section, FCAA may at its option terminate the contract according to provisions within the contract for termination.

Required Elements of Proposal

The successful vendor will include all of the following elements in their proposal:

- 1. A cover sheet and transmittal letter must be included in the proposal. The cover sheet is attachment A. A transmittal letter must be on agency/organization letterhead and signed by the individual authorized to legally bind the vendor/organization to fulfill the agreed upon contractual obligations. The transmittal letter must incorporate the following elements:
 - a) A statement indicating the legal entity tax status of the organization/vendor.
 - b) A statement regarding the vendor's qualifications and experience.
 - c) A statement regarding the vendor's knowledge of Airport management.
 - d) The name, title, address, telephone, and e-mail of the individual to be contacted if necessary, during the review and selection process.
- 2. An **overview of the proposed services** to be provided by the vendor must include a detailed response to each of the following:
 - a) Proposal Table of Contents
 - b) Organization Description
 - c) Description of Services to be Provided
 - d) Compensation proposed for listed services
- 3. Vendors must complete required forms as provided in Attachments A-E.
 - A. Organizational Information Cover Sheet
 - B. Certification Letter from Signatory Authority
 - C. OPERS Independent Contractor Acknowledgement Form
 - D. Request for Taxpayer Identification Number (W-9) Form
 - E. Ohio New Hire Reporting Form

4. Completion of "required forms" as contained in the **Appendix should be** submitted as a separate packet of originals with a clearly defined cover sheet marked "Appendix". Only one (1) set is needed to accompany the one (1) original and four (4) copies of the proposal.

Protests

Any potential, or actual, vendor objecting to the award of a contract resulting from the issuance of the RFP may file a protest of the award of the contract, or any other matter relating to the process of soliciting the proposals. Such a protest must comply with the following guidelines:

- 1. A protest may be filed by a prospective or actual proposer or vendor objecting to the award of a contract resulting from this RFP. The protest shall be in writing and shall contain the following information:
 - A. The name, address, and telephone number of the protestor;
 - B. The name and release date of the RFP being protested;
 - C. A detailed statement of the legal and factual grounds for the protest, including copies of any relevant documents;
 - D. A request for a ruling by FCAA;
 - E. A statement as to the form of relief requested from FCAA; and
 - F. Any other information the protestor believes to be essential to the determination of the factual and legal questions at issue in the written protest.
- 2. A protest based on alleged improprieties in the issuance of the RFP or any other event preceding the closing date for receipt of proposals which are apparent or should be apparent prior to the closing date for receipt of proposals shall be filed no later than 4:00 p.m. the closing date for receipt of proposals.
- 3. An untimely protest may be considered by FCAA if FCAA determines that the protest raises issues significant to the agency's procurement system.
- 4. All protests must be filed at the following location:

Fairfield County

Attn: County Administrator 210 East Main Street Lancaster, Ohio 43130

- 5. When a timely protest is filed, a contract shall not proceed until a decision on the protest is issued or the matter is otherwise resolved. The vendor who would have been awarded the contract shall be notified of the receipt of the protest.
- **6.** The County Administrator shall provide the written decisions on all timely protests and shall notify any vendor who filed an untimely protest as to whether or not the protest will be considered.

RFP Scoring

All proposals will be scored by the FCAA board using the scoring matrix below.

Requirements	N	Υ
Response to Performance Criteria		
Bid Form completion		
Evaluation	Possible 20 Points for Each Category	Comments
Breadth and Depth of Services Proposed		
Qualifications and Experience of Vendor		
Knowledge of Vendor Demonstrated in Proposal		
Customer Service Orientation Demonstrated in Proposal		
Performance Based Compensation and Innovations in Proposal		
Total Points out of 100		

Attachment A

ORGANIZATIONAL INFORMATION COVER SHEET (Required for all Proposals)

Name of Organization:		
Address of Organization:		
Contact Person:		· · · · · · · · · · · · · · · · · · ·
Phone Number:		
Total Number of Staff:		
Federal ID Number:		
Corporation No	(if applicat	ole)
Type of Organization: (Che	ck the most approp	oriate one)
	stem t Organization	[] Public Post-Secondary Education [] Private Post-Secondary Education [] Technical/Trade School [] Private Consultant

Attachment B

CERTIFICATION LETTER FROM SIGNATORY AUTHORITY

CERTIFICATION: The information contained in this proposal fairly presents the organization and its proposed operating plans and budget for FBO/Airport Management Services. I acknowledge that I have read and understand the requirements and provisions of the RFP.

I further certify that all information contained in this proposal is true and correct and shall be open to verification should FCAA desire to do so.

I agree that our organization will abide by the rules, regulations, state and local policies, as applicable to law, regulations, and plans for administration.

I certify that I am authorized to sign the attached proposal and to commit this organization to the provision of services contained therein.

Finally, I do hereby certify that this organization is not currently in any stage of formal bankruptcy proceedings.

Authorizing Official's Name	Title
Authorizing Official's Signature	Date
Social Security Number or Taxpayer ID Number	

Attachment C



INDEPENDENT CONTRACTOR ACKNOWLEDGMENT

Ohio Public Employees Retirement System 277 East Town Street, Columbus, Ohio 43215-4642

Employer Outreach: 1-888-400-0965

www.opers.org

This form is to be completed if you are an individual who begins providing personal services to a public employer on or after Jan. 7, 2013 but are not considered by the public employer to be a public employee and will not have contributions made

to OPERS. This form must be completed not later than 30 employer.	days a	after you begin pro	oviding person	nal services to	the public	
STEP 1: Personal Information						
Social Security Number						
First Name	MI	Last Name				
STEP 2: Public Employment Information						
Name of Public Employer						
Employer Contact						
First Name	MI	Last Name				
Employer Code	Е	Employer Contact	t Phone Num	ber		
		_		_		
Service Provided to Public Employer						
Start Date of Service	Е	nd Date of Servi	ce			
Month Day Year	N	Month Day	Year			
		/	/			
PEDACKN (Revised 12/2012)	Page	e 1				

STEP 3: Acknowledgment

The public employer identified in Step 2 has identified you as an independent contractor or another classification other than a public employee. Ohio law requires that you acknowledge in writing that you have been informed that the public employer identified in Step 2 has classified you as an independent contractor or another classification other than a public employee for the services described in Step 2 and that you have been advised that contributions to OPERS will not be made on your behalf for these services.

If you disagree with the public employer's classification, you may contact OPERS to request a determination as to whether you are a public employee eligible for OPERS contributions for these services. Ohio law provides that a request for a determination must be made within five years after you begin providing personal services to the public employer, unless you are able to demonstrate through medical records to the Board's satisfaction that at the time the five-year period ended, you were physically or mentally incapacitated and unable to request a determination.

By signing this form, you are acknowledging that the public employer for whom you are providing personal services has informed you that you have been classified as an independent contractor or another classification other than a public employee and that no contributions will be remitted to OPERS for the personal services you provide to the public employer. This acknowledgment will remain valid as long as you continue to provide the same services to the same employer with no break in service regardless of whether the initial contract period is extended by any additional agreement of the parties. You also acknowledge that you understand you have the right to request a determination of your eligibility for OPERS membership if you disagree with the public employer's classification.

This form must be retained by the public employer and a copy sent to OPERS. The public employer's failure to retain this acknowledgment may extend your right to request a determination beyond the five years referenced above.

Signature		Today's Date	1
	Do not print or type name	#45.48 fb. 41540 Fr. 11 - 12	3, 2

PEDACKN (Revised 12/2012)

Form W-9

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not

A Alleger from the street was	and the second s	d the latest information.	4
1 Name (as shown on	your income tax return). Name is required on this line; do not leave this	lane blank.	
2 Business name/disre	garded entity name, if different from above		
following seven box	perietor or C Corporation S Corporation Partn	certain instruct pership Trust/estate Exemple pership Industrial Exemple pership Industrial Exemple pership Industrial Exemple Exemple Exemple Industrial Exemple Exemple Exemple Industrial Exemple Exemple Exemple Industrial Exemple Exemple Industrial Exemple Exemp	reptions (codes apply only tentities, not individuals; settions on page 3): It payee code (if any) tion from FATCA reporting fany)
Other (see instruc	tions) >	(Applica In	ecosons meintened outside the U.S.
6 City, state, and ZIP of Tist account number			
Taxpave	Identification Number (TIN)		
r your TIN in the appro cup withholding. For indi fent alien, sole propriet ies, it is your employer later.	oriate box. The TIN provided must match the name given on life inviduals, this is generally your social security number (SSN). Hor, or disregarded entity, see the instructions for Part I, later. Fidentification number (EIN). If you do not have a number, see fore than one name, see the instructions for line 1. Also see When the provided in the security of the secur	However, for a For other How to get a Or]-
	ster for guidelines on whose number to enter.		
	ster for guidelines on whose number to enter.		

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Here

General Instructions

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (TTN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

. Form 1099-INT (interest earned or paid)

- . Form 1099-DIV (dividends, including those from stocks or mutual
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- . Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- . Form 1099-S (proceeds from real estate transactions)
- . Form 1099-K (merchant card and third party network transactions)
- . Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- . Form 1099-C (canceled debt)
- . Form 1099-A (acquisition or abandonment of secured property) Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Form W-9 (Rev. 10-2018) Cat. No. 10231X

Form W-9 (Rev. 1-2011) Page 2

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five Items:

- The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
- 2. The treaty article addressing the income.
- The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- 4. The type and amount of income that qualifies for the exemption from tax
- 5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
- 3. The IRS tells the requester that you furnished an incorrect TIN,
- The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see Special rules for partnerships on page 1.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

Disregarded entity. Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

Other entitles. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/ disregarded entity name" line.

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

- 1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
- 2. The United States or any of its agencies or instrumentalities.
- 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
- 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
- 5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

- 6. A corporation,
- 7. A foreign central bank of issue,
- A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
- 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 - 10. A real estate investment trust.
- 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
 - 12. A common trust fund operated by a bank under section 584(a),
 - 13. A financial institution.
- 14. A middleman known in the investment community as a nominee or custodian, or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for	THEN the payment is exempt for
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 1	Generally, exempt payees 1 through 7 ²

See Form 1099-MISC, Miscellaneous Income, and its instructions.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see Exempt Payee on page 3.

Signature requirements. Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

- 4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
Individual Two or more individuals (joint account)	The individual The actual owner of the account or, If combined funds, the first individual on the account '
Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ' The actual owner '
Sole proprietorship or disregarded entity owned by an individual	The owner ³
Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ⁴
Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
 Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B)) 	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- · Protect your SSN,
- Ensure your employer is protecting your SSN, and
- · Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Bottine uses of this informatian include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

^{*}Note. Grantor also must provide a Form W-9 to trustee of trust.

Attachment E

Ohio New Hire Reporting

Ohio Revised Code section 3121.89 to 3121.8910 requires all Ohio employers, both public and private, to report all contractors and newly hired, rehired, or returning to work employees to the state of Ohio within 20 days of the contract, hire, or rehire date. Information about new hire reporting and online reporting is available on our website: www.oh-newhire.com

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Questions? Call us at (614) 221-5330 or toll-free (888) 872-1490 Ohio Department of Job and Services JFS 07048 (Rev. 3/2007)